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Technology Center 2600

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/781,647
Filing Date	February 12, 2001
First Named Inventor	LYDECKER, George et al.
Group Art Unit	2644
Examiner Name	To Be Assigned
Attorney Docket Number	3464-037

Total Number of Pages in This Submission

70+

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
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Depositor: Berinda J. Nantz

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Gottlieb, Rackman & Reisman, PC  
Tiberius WEISZ Reg.No. 29.876

Signature

Date

August 23, 2001



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PATENT TRADEMARK OFFICE

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Attorney Docket No. 2600

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : LYDECKER, George H. et al.  
Serial No. : 09/781,647  
Filing Date : February 12, 2001  
Title : SPEAKER ALIGNMENT TOOL  
Examiner : To Be Assigned  
Group Art Unit : 2644

August 23, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR §1.10**

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*Belinda J. Hunter*  
Belinda Hunter

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§1.56, 1.97 AND §1.98**

SIR:

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97. and §1.98, applicants submit herewith an *Information Disclosure Statement* along with (10) cited references listed on Form PTO-1449.

In addition, references E, F, I and K on the List of Cited References (form PTO-1449) were cited in the *International Search Report* dated August 1, 2001 issued by the Patent Cooperation Treaty organization in counterpart International Patent Application No. PCT/US01/04194. These particular references are indicated under category "A" meaning that

these references define the general state of the art and thus are not considered as being of particular relevance to the present invention. A copy of the International Search Report is also enclosed herewith.

Inasmuch as the present application has not yet received a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3) and the disclosures contained herein were not cited in a foreign counterpart application prior to (3) months of filing this paper in accordance with 37 CFR §1.97(e), this information is believed to be timely filed. Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this Information Disclosure Statement is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: August 23, 2001  
New York, New York

Respectfully submitted,

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Telephone: 212/684-3900  
Telefax: 212/684-3999

By: 

Tiberiu WEISZ  
Reg. No. 29,876

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number 09/781,647  
 Filing Date February 12, 2001  
 First Named Inventor LYDECKER, George et al  
 Group Art Unit 2644  
 Examiner Name To Be Assigned  
 Attorney Docket Number 3464-037

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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A	3,192,631		Goguen et al	07.06.1965	
	B	4,420,261		Barlow et al	12.13.1983	
	C	4,887,197		Effinger	12.12.1989	
	D	5,118,184	A	Kordana	06.02.1992	
	E	5,218,770	A	Tona	06.15.1993	
	F	5,343,295	A	Lara et al	08.30.1994	
	G	5,782,003	A	Bozzo	07.21.1998	
	H	5,829,147	A	Kousek et al	11.03.1998	
	I	5,838,431	A	Hara et al	11.17.1998	
	J					
	K	5,402,226	A	Matthews et al	03.28.1995	
	L					
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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